

IBA Operations School

October 3-5, 2017 | IBA Training Center, Springfield

Back-room operations represent the most important core function of any financial institution. In order for a bank to run its operations effectively, reduce costs and decrease transaction error, its staff needs to be well versed in the fundamental and key principles governing bank operations. This school provides a comprehensive framework to examine the operations that support bank services while incorporating industry best practices.

PROGRAM 1 - TUESDAY, OCTOBER 3

8:00 a.m. - 8:30 am	Continental Breakfast
8:30 am - 8:45 am	Orientation and Overview
8:45 am - 12:00 pm	Analyzing & Understanding Your Bank's Statement of Condition
12:00 pm - 12:45 pm	Lunch
12:45 pm - 2:25 pm	Analyzing & Understanding Your Bank's Statement of Condition
2:25 pm - 4:30 pm	Internal Controls and Practices
6:00 pm - 7:30 pm	Casual Dinner

PROGRAM 2 - WEDNESDAY, OCTOBER 4

8:00 a.m. - 8:30 am	Continental Breakfast
8:30 am - 10:10 am	Cybersecurity Hot Topics
10:10 am - 12:00 pm	Disaster Recovery & Business Continuity Planning
12:00 pm - 12:45 pm	Lunch
12:45 pm - 1:45 pm	Third Party Risk Management
1:55 pm - 2:55 pm	New Technologies
3:00 pm - 4:30 pm	New Regulatory Cyber Guidance

PROGRAM 3 - THURSDAY, OCTOBER 5

8:00 a.m. - 8:30 am	Continental Breakfast
8:30 am - 10:40 am	Understanding Payment Systems
10:45 am - 12:00 pm	Operations Training and Supervision
12:00 pm - 12:45 pm	Lunch
12:45 pm - 1:45 pm	Operations Training and Supervision
1:55 pm - 4:30 pm	Operational Compliance Issues

ATTENDEE PROFILE

Bankers who are involved in and/or manage operational functions in their bank are encouraged to attend. The school is ideal for operations officers and heads of operations, branch managers, cashiers and assistant cashiers, bookkeeping supervisors, and teller supervisors and head tellers.



BENEFITS

This school provides participants with the following takeaways:

Understanding of the significant operational areas within the financial institution

Overview of the transitions in the financial services industry as they apply to operations and banking

Knowledge related to the financial workings of the organization to include an overview of financial position and statement of condition

Understanding of internal control processes, the risks associated with failure to have adequate processes and the audit function

Specific knowledge related to information security issues, technology security issues and business continuity planning

Details of the impact of third-party risk on operations

Exposure to issues impacting payment systems

Overview of current operational training and supervision practices

Review of compliance issues and compliance management

To register, visit ilbanker.com or connect at 800-783-2265



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524 South Second Street, Suite 600, Springfield | 800-783-2265

Confirmation will be sent 7-10 days in advance of the program via e-mail.

Need Assistance? Contact Kevin Klug at kklug@ilbanker.com or 800-783-2265.

Attendee #1 _____

Title _____

E-mail _____

Required for registration confirmation

Program 1 Program 2 Program 3 All Three Programs

Attendee #2 _____

Title _____

E-mail _____

Required for registration confirmation

Program 1 Program 2 Program 3 All Three Programs

Institution/Company _____

Address _____

City, State, Zip _____

Phone _____

Fee

IBA Member \$689 Individual Program \$249

Nonmember \$1,139 Individual Program \$409

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Lodging

A special room rate of \$99 plus tax is available at the State House Inn, located four blocks from the IBA Training Center. To reserve a room, call 217-528-5100 and mention code LIBA. Other lodging options can be explored at www.visit-springfieldillinois.com/WhereToStay.aspx

Continuing Professional Education

The IBA is recognized as a public accountant continuing professional education sponsor by the Illinois Department of Financial and Professional Regulation. Public accountants licensed in the state of Illinois will earn 24 hours of continuing professional education credit for attending the school (8 hours per program).

Tax Deduction

All expenses including fees, travel, meals and lodging intended to maintain and improve professional skills are tax deductible subject to the Internal Revenue Code.

Satisfaction is Guaranteed

If you are not satisfied that the program was delivered as advertised, IBA will give you credit toward another comparably priced program. The IBA should be notified of such requests in writing within seven days following the program.

Cancellation Policy

A refund less \$75 processing fee will be furnished if cancelling within five business days of a given program. No refunds will be honored less than 24 hours prior to start of the program. Substitutions are permissible.

INSTRUCTORS

PROGRAM 1

Sara Mikuta leads the risk advisory and forensic services team for Wipfli LLP's financial institutions practice. Her team is responsible for the development and delivery of internal audit services, regulatory compliance examination services, loan portfolio services, information technology services and fiduciary and trust services. She brings more than 30 years of experience, focusing solely on financial institutions. This depth of knowledge and experience provides her clients with the necessary tools to be successful in their endeavors. Mikuta is a Certified Public Accountant, Chartered Global Management Accountant, Certified Internal Auditor, and holds the Certification in Risk Management Assurance.

PROGRAM 2

Mark Scholl, with more than 28 years of technology experience, is a partner with Wipfli LLP. He specializes in all aspects of technology services primarily in the financial institutions industry including information security and perimeter vulnerability assessments, IT risk assessments, network design and support, and IT training. Scholl is a frequent speaker at conferences and seminars on various information technology topics. He is a Certified Information Systems Security Professional, Certified Ethical Hacker, Microsoft Certified Systems Engineer and Certified Information Systems Auditor.

PROGRAM 3

Tim Tedrick is a partner in Wipfli LLP's financial institutions practice where he is responsible for overseeing the firm's compliance and Bank Secrecy Act services. He is a graduate of the ABA National Graduate Compliance School in Norman, Oklahoma, and is a Certified Regulatory Compliance Manager and a Certified Risk Professional. Tedrick has taught at various state banking schools and has given training sessions to banks on a regular basis. He stays informed of the risks and is up to date with the ever-changing regulatory environment. Tedrick enjoys being a reliable resource for financial institutions while helping them meet the challenges of regulatory and operational issues.